

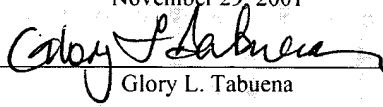


Box Sequence # 62/1627

Patent Docket No. 81R2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
JAN 18 2001  
TECH CENTER 1600/2900

Re Application of  Sidhu <i>et al.</i>  Serial No.: 09/380,447  Filed: September 1, 1999  For: <i>Improvements in Phage Display</i>	Group Art Unit: 1627  Examiner: B. Celsa  <div>CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Sequence, Commissioner of Patents and Trademarks, Washington, DC 20231 on  November 29, 2001  Glory L. Tabuena</div>
---	--

TRANSMITTAL

Box Sequence  
Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:

In response to the Notice to Comply mailed May 30, 2001, transmitted herewith are the following documents:

1. Copy of Notice to Comply form;
2. Certificate Re Sequence Listing Under 37 C.F.R. §1.821(f) and (g), and computer-readable diskette;
3. Copy of Sequence Listing;
4. Petition for Five (5)-Months Extension of Time and requisite fee; and
5. return postage-paid postcard.

The Commissioner is authorized to charge any additional fees due in connection with the filing of these documents to our Deposit Account No. 07-0630. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

GENENTECH, INC.

Date: November 29, 2001

By:



Timothy R. Schwartz, Ph.D.

Reg. No. 32,171

Phone: (650) 225-7467



09157

PATENT TRADEMARK OFFICE



Application No. 09/380,747

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 CFR 1.821 - 1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(f).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant must provide:**

- ☒ An initial ~~or substitute~~ computer readable form (CRF) copy of the "Sequence Listing"
- ☒ An initial ~~or substitute~~ paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d)

For questions regarding compliance with these requirements, please contact:

For Rules Interpretation, call (703) 308-1123  
For CRF submission help, call (703) 308-4212  
For PatentIn software help, call (703) 308-6856

Please return a copy of this notice with your response.

**RECEIVED**  
JAN 18 2001  
TECH CENTER 1600/2900